

HANNON ARMSTRONG SECURITIES, LLC

MEMBER FINRA AND SIPC

Business Continuity & Disaster Recovery Plan Disclosure Statement

Hannon Armstrong Securities, LLC (the “Company”) has developed a business continuity and disaster recovery plan (the “Plan”) which sets forth the process and procedures under which the Company responds to unforeseen significant business disruptions. Since the impact and timing of such events is unpredictable, the Company is prepared to employ a variety of efforts to mitigate any adverse effects while resuming normal business operations as quickly as possible.

Scope of Business Continuity & Disaster Recovery Plan

The scope of our Plan addresses data back-up and recovery; all mission critical systems, financial and operational assessments; communications between the Company and its customers; physical relocation of employees; critical business constituents; and communications with regulators.

Anticipated Response to Events of Varying Severity

In the event of a significant business interruption event that only affects the Company, designated employees of the Company will meet to identify and address the cause, and determine the length of time needed to adequately rectify the problem in order to promptly continue normal business operations.

In the event of a significant business interruption event that affects the Company office building and/or the county, city or region in which the Company office is located, Company employees will work from an alternate location as specified in the Plan or from their homes, as necessary.

Disclosure

Despite our efforts to create and implement an effective Plan designed to address a significant business disruption, the Company acknowledges the unpredictable nature of disasters and the impossibility of anticipating every possible catastrophic scenario and ramifications thereof, including without limitation the impact that some events may have on third parties who support our business. Nevertheless, we are confident that our measures will allow us to continue conducting business with minimum impact to our clients and business partners.

To Contact Us

If you have an urgent business matter are not able to contact us at 410-571-9860 after a significant business disruption event, please send us an email at admin@hannonarmstrong.com. Please be sure to leave us your name and the company you are with, a phone number at which you can be reached and the nature of your urgent matter.